# UNITED STATES DEPARTMENT OF JUSTICE WASHINGTON, D.C. 20530

SUPPLEMENTAL STATEMENT

Pursuant to Section 2 of the Foreign Agents Registration Act of 1938, as Amended Month of the Man of th

For Six Month Per		OCT 5 1977	
		(Insert date)	
Name of Registrant		Registration No.	
China Boaks & Periodicals,	Inc.		1350
Business Address of Registrant 2929 - 24th Street			
San Francisco, CA 94110	I - REGISTRAN	T	
1. Has there been a change in the informati			th the following:
	on previously turn.	ished in connection wi	ut the following:
(a) If an individual:			
(1) Residence address	Yes 🔲	No 🔲	
(2) Citizenship	Yes U	No [	
(3) Occupation	Yes 📋	No [_]	
(b) If an organization:			
(1) Name	Yes 🗌	No 🗶	
(2) Ownership or control	Yes 🔲	No 🕱	
(3) Branch offices	Yes 🗶	No	
2. Explain fully all changes, if any, indicat	ted in Item 1.		
New location for Chicago st	ore:	19/ II Baadalai	- CA
		174 W. Randolpi Chicago, IL 600	
IF THE REGISTRANT IS AN IN	IDIVIDUAL, OMIT	RESPONSE TO ITEM	S 3, 4, and 5.
3. Have any persons ceased acting as partn this 6 month reporting period? Yes		ctors or similar officia	ls of the registrant during
If yes, furnish the following information:			
Name	Position		Date Connection
	. 03.1.07	•	Ended

Have any persons become period? Yes	ome partners, officers, direct	ors or similar officials	,	
If yes, furnish the foll	owing information:			
Name	Residence Address	Citizenship	Position	Date Assumed
	·			
principal? Yes	d in Item 4 rendered services  No  such person and describe his		of the interests	of any foreign
Have any employees terminated their empl	or individuals other than offic oyment or connection with the	cials, who have filed a secregistrant during this 6	short form registre month reporting p	ation statement period?
If yes, furnish the fo	llowing information:			
Name	Position or co	onnection		Date terminate
avit Herovy	shipping	clerk		5-5-77
eff Rickin	clerical			5-15-77 6-2-77
nthony Rodriguez	clerical			6-15-77
sia <b>n-</b> lihy Meng	clerical			8-25-77
leng Lai-hsin	clerical			9-2-77
Susan Horner	clerical clerical			9-2-77
Gary White Mark Weiss	clerical			10-4-77
7. During this 6 month	reporting period, have any per	rsons been hired as empl	oyees or in any o	ther capacity
the registrant who reprincipal in other the Yes $X$ No	reporting period, have any per endered services to the regist nan a clerical or secretarial, o	rant directly in futbletan or in a related or similar	capacity?	·
If yes, furnish the f	ollowing information:			
	Residence	Position or		Date connecti
Name	Address	connection		began
Davit Herovy	1380 E. Hyde Park, C	hic.IL cleric		4-20-77 6-6-77
Ruth Misheloff	32 W. 71st St., NYC 1	0023 Manage	r, NY store	6-10-77
Richard Wallace	649 Page St.#9, S.F.	,CA 94117 cleric		6-13-77
Meng Lai-hsin	145_4+h Ave. NYC 10	1003 cterio		6-13-77
Marc Jahr	255 Sterling Dr., Bro	oklyn 11238 cieric 94110 cleric	eal	6-20-7
John Thomson	651A Peralta, SF,CA	77220		7-1-77
Michael Horner	25 Duncan St., SF,CA 651 A Peralta Ave.,			7-15-7
Lily Wu	1040 W. Barry, Chica	og, IL60657 cleric	cal	9-5-77
Pam Zumwalt Mary Jane Vander Ricardo Mendoze	beck 2230 Bryant #26 S 2240 Bryant#21, SF,0	SF,CA 94110 Cleri	COT	9-12-7 9-12-7

### II - FOREIGN PRINCIPAL

8.	Has your connection with any foreign principal ended during this 6 month reporting page 1. Yes No X	period?
	If yes, furnish the following information:	
	Name of foreign principal	Date of Termination
_		
у.	Have you acquired any new foreign principal during this 6 month reporting period?  If yes, furnish following information:	Yes No X
	Name and address of foreign principal	Date acquired
10.	In addition to those named in Items 8 and 9, if any, list the foreign principals to represent during the 6 month reporting period.	nom you continued to
	S.Leong, Guozi Shudian, P.O.Box 399, Peking, People's Republic p'y Director Nguyen Si Truc, Xunhasaba, Hanoi, Democratic Repub	
=	III - ACTIVITIES	
11.	During this 6 month reporting period, have you engaged in any activities for or rene any foreign principal named in Items 8, 9, and 10 of this statement? Yes	dered any services to No [
	If yes, identify each such foreign principal and describe in full detail your activitie	s and services:
Shi pro	portation, wholesale and retail distribution of books and periodian and Kunhasaba, and records, posters and pictures from Guoofit. Activities are identical for China Books & Periodicals, sco, New York, and Chicago in distribution for Guozi Shidian; whasaba is from San Francisco.	zi Shudian for Inc., in San Fran

The term "foreign principal" includes, in addition to those defined in section 1(b) of the Act, an individual or organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a)(9)).

A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those foreign principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

ity as defi	ined below?	g period, nave you on be	half of any foreig	n principal engaged	l in political activ
Yes 🗓	No		-	,	
ing, among ployed to a	other things, the i chieve this purpos	reign principal and descretations, interests and se. If the registrant are details as to dates, pla	l policies sought tranged, sponsore	to be influenced :	and the means em-
China Books to distribu service. P	s & Periodical ste books, per Publications,	ence is made only ls, Inc., is a con riodicals, etc., o etc., imported an ted in (10) & (11)	mmercial fir on order of ond nd distribut	m organized fo customers as a	r profit general
respectivel of publicat	y in San Fran ions, etc., f	the three stores oncisco, New York, for Guozi Shudian on Francisco store	and Chicago Publication	, in the distr	<b>ibution</b>
<u> </u>					
13. In addition of benefits any	to the above descr or all of your for	ribed activities, if any, eign principals?	have you engaged	lin activity on your	own behalf which
Yes 🗌	No XX				
If yes, desc	ribe fully.				
	• . •	.~		ŧ	

The term "political activities" means the dissemination of political propaganda and any other activity which the person engaging therein believes will, or which he intends to, prevail upon, indoctrinate, convert, induce, persuade, or in any other way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

## IV - FINANCIAL INFORMATION

14	. (a)	RECEIPTS - MONIES	

	and to or mits statement	orting period, have you receit, or from any other source, fine or money either as compe	or or in the incomes	rincipal named in Items 8,9 any such foreign principal,
	If yes, set forth below it such monies. 3	n the required detail and sep	parately for each foreig	n principal an account of
		om Whom	Purpose	Amount
1)	Jan-June 1977. Amou	nt of money collected	d from sales of p	ublications, etc.,
	from Guozi Shudian w Amounts retained as	\$81,549,69	New York \$59,220.07	Chicago \$41,635.52
	were respectively:	3,698.29	10,836.27	21.30
	Total Amount retained	d as profit from sale	es for Guozi Shud	ian - \$14,555.86
2)	Jan-June 1977. Amous from Xunhasaba were:	nt of money collected \$3,316.46	from sales of p	ublications
	Amount retained as pr	rofit from sales for	Xunhasaba - \$427.	.28

# 14. (b) RECEIPTS - THINGS OF VALUE

During this 6 month reporting period, have you received any thing of value 4 other than money from any foreign principal named in Items 8, 9 and 10 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes 🗓

No 🔲

If yes, furnish the following information:

Name of foreign principal

Date received

Description of thing of value

Purpose

Total

Guozi Shudian - Apr 6/77 to Oct 6/77 - Books, periodicals, records, pictures, posters, catalogs to distribute for profit Same for our three stores in San Francisco, New York and Chicago.

Xunhasaba - Apr 6/77 to Oct 6/77 - Books & periodicals received in S.F. to distribute for profit.

Books & Periodicals are imported from Xunhasaba under U.S. Treasury License requiring payment into a blocked account in a domestic bank of the exact invoice price as items are sold. U.S. Treasury regulations permit direct payment for shipments received from Guozi Shudian.

A registrant is required to file an Exhibit D if he collects or receives contributions, loans, money, or other things of value for a foreign principal, as part of a fund raising campaign. See Rule 201(e).

Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15	(a)	DISBURSEMENTS	-	MONIES
4/.	(/			

	•	•	
5. (a) DISBURSEMENTS - MONIES .			
During this 6 month reporting period, have	you	. 16 of any formion print	rinal named
(1) disbursed or expended monies in conn in Items 8, 9 and 10 of this statement	ection with activity on beni ? Yes y No	att or any torergn print	ipai namee
(2) transmitted monies to any such foreign	n principal? Yes 🙀	No 🗌	
If yes, set forth below in the required det monies, including monies transmitted, if a	ail and separately for each f any, to each foreign princip	oreign principal an acc al.	ount of such
Date .To Whom	Purpose	•	Amount
As in previous years, all informations based on the latest semi-annual fis	on filed in this sec cal period: Jan l to	tion and in sector June 30, 1977.	tion 14(a) is
EXPENDITURES FOR GUOZI SHUDIAN:	San Francisco	New York	Chicago
Purchases	5,184.78	3,686.97	2,650.00
Customs & Inter-store Transport	5,239.92	3,726.16	2,678.18
Promotion-Catalog, Exhibits, Postage	3,029.15	1,211.72	973.30
Advertising Travel-sales	1,149.48	596.84	464.21
	1,004.91	71.97	
Improvements Furniture & Equipment	1,019.35	787.50	554.02
	26,885.28	21,938.12	19,611.60
Wages Rent & Utilitites	3,354.48	6,448.48	4,920.54
Office & Store Supplies	1,952.06	1,013.57	788.33
Postage & Freight	3,648.52	1,894.42	1.473.44
Legal & Audit	329.58	234.37	168.45
Insurance	2,594.97	967.66	3,717.62
Maintenance	376.32	276.25	85.89
	4,808.14	4,651.66	2,891.01
Taxes	1,732.21	878.11	637.63
Misc. Acquisition Chicago Building	15,542.25	<del></del>	
Totals	77,851.40	48,383.80	41,614.22
GRAND TOTAL: \$167,849.42			
EXPENDITURES FOR XUNHASABA:			
Purchases (deposited in blocked ac	ct) 689.58		
Postage & Freight	140.32	•	
Promotion	342.27		
Rent, Utilities, Misc.	861.57		
Wages	<u>855.44</u>		
Total	\$2889.18		

Total		

15. (b)	DISBURSEMEN	TS - THINGS OF VALU	<u>E</u>		
	During this 6 n furtherance of and 10 of this	nonth reporting period, his or in connection with ac- statement?	ave you disposed of a tivities on behalf of at	anything of value ny foreign princip	<sup>5</sup> other than money in al named in items 8, 9
	Yes 🗌	No 🗔			
		the following information	r estalogo from ( n:	roal Andies	
	Date disposed	Name of person to whom given	On behalf of what foreign principal	Description of thing of value	Purpose
(c)	DISBURSEMEN	TS - POLITICAL CONT	RIBUTIONS		
	directly or thro connection with vention, or cau	nonth reporting period, having any other person, make an election to any policus held to select candithe following information	ade any contributions tical office, or in conn dates for political offi	of money or othe nection with any	er things of value 5 in
	Date	Amount or thing of value	Name politic organiza	cal	Name of candidate
		·			
		V - POLIT	TCAL PROPAGANDA		
pictoria the per induce referen foreign States racial, lence i	al, or other common dissemination or in any other ce to the political party of racial, religious social, political nany other Ame	Act defines "political nunication or expression ing the same believes with way influence a recipier al or public interests, poor with reference to the figure or social dissensions, it, or religious disorder, rican republic or the overny means involving the uniterestical results.	by any person (1) whall, or which he intendent or any section of the olicies, or relations of foreign policies of the or (2) which advocates civil riot, or other courthrow of any government	ich is reasonably to, prevail upon, ne public within to a government of United States or s, advises, instigant fict involving them to political sul	adapted to, or which indoctrinate, convert he United States with a foreign country or a promote in the United ates, or promotes any he use of force or vio-
16. Du ica	ring this 6 montl Il propaganda as	n reporting period, did yo defined above? Yes	u prepare, disseminate s No	or cause to be di	sseminated any polit-
lF	YES, RESPOND	TO THE REMAINING I	TEMS IN THIS SECTION	ON V.	
17. Ide	ntify each such	foreign principal.	Gurel Shutien	and Numbersh	•

<sup>&</sup>lt;sup>5</sup> Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

18,	fied sum of money to finance your activities in preparing or disseminating political propaganda?  Yes No
	If yes, identify each such foreign principal, specify amount, and indicate for what period of time.
	·
10	During this 6 month reporting period, did your activities in preparing, disseminating or causing the disem-
17.	ination of political propaganda include the use of any of the following:  Radio or TV broadcasts Magazine or newspaper Motion picture films Letters or telegrams articles
	Advertising sources Press releases Pamphlets or other Lectures or publications speeches
	Other (specify)
20.	During this 6 month reporting period, did you disseminate or cause to be disseminated political propaganda among any of the following groups:
	☐ Public Officials ☐ Newspapers ☐ Libraries
	Legislators Editors Educational institutions
	Government agencies Civic groups or associations Nationality groups
	Other (Specify)
21.	What language was used in this political propaganda:
	English Other (specify)
22.	Did you file with the Registration Section, Department of Justice, two copies of each item of political propaganda material disseminated or caused to be disseminated during this 6 month reporting period?
	Yes No 🗌
23.	Did you label each item of such political propaganda material with the statement required by Section 4(b) of the Act?  Yes No
24.	Did you file with the Registration Section, Department of Justice, a Dissemination Report for each item of such political propaganda material as required by Rule 401 under the Act?
	Yes No 🗌
	VI - EXHIBITS AND ATTACHMENTS
25.	EXHIBITS A AND B
	(a) Have you filed for each of the newly acquired foreign principals in Item 9 the following:
	Exhibit A <sup>6</sup> Yes No
	Exhibit B <sup>7</sup> Yes No
	If no, please attach the required exhibit.
	(a) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period?
	Yes No 🛣
	If yes, have you filed an amendment to these exhibits? Yes No
	If no, please attach the required amendment.
	6

<sup>6</sup> The Exhibit A, which is filed on Form OBD-67 (Formerly DJ-306) sets forth the information required to be disclosed concerning each foreign principal.

7 The Exhibit B, which is filed on Form OBD-65 (Formerly DJ-304) sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

If you have previously filed an Exhibit C8, state whether	
6 month reporting period. Yes No 区	er any changes therein have occurred during this
If yes, have you filed an amendment to the Exhibit C?	Yes No No
If no, please attach the required amendment.	
27. SHORT FORM REGISTRATION STATEMENT	
Have short form registration statements, been filed by a supplemental statement?  Yes No Yes where Required	d
If no, list names of persons who have not filed the requir	red statement.
The undersigned swear(s) or affirm(s) that he has (they he istration statement and the attached exhibits and that he is (that such contents are in their entirety true and accurate to the cept that the undersigned make(s) no representation as to the in attached Short Form Registration Statement, if any, insofauthis (their) personal knowledge.	they are) familiar with the contents thereof and the best of his (their) knowledge and belief, extruth or accuracy of the information constituted.
	(Type or print name under each signature)
(Both copies of this statement shall be signed and sworn to before a notary public or other person authorized to administer paths by the agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions who are in the United States, if the registrant is an organization.)	Flewery IV. Dogs
	Henry H. Noyes
Subscribed and sworn to before me at San J	Sancisa, California
Subscribed and sworn to before me at San In	

<sup>&</sup>lt;sup>8</sup> The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, constitution, and bylaws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause shown upon written application to the Assistant Attorney General, Criminal Division, Internal Security Section, Department of Justice, Washington, D.C. 20530.

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SERVE THE PROPLE	1 17	0 3	453
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WHY CAN CHINA'S	1	•	60
WAY CHINA HAS NO INPLATION	82	113	436
WORKERS, PEASANTS & SOLDERS	14	12	112
PEKING REVIEW	394	2.4	3308
CRIMA RECONSTRUCTS	637	308	849
CHIMA PICTORIAL	125	280	670
CHIMBS LITERATURE	60	80	192
CELHA'S FORRIGH TRADE	20	20	112
REMAIN HUABAO	•	0	520

CHINA BOOKS & PERIODICALS, INC. 2929 24TH STREET GAN PRANCISCO, CA 94210 'SEPORT ON SALES OFFICOKS, ETC. IMPORTED PROM THE SOCIALIST REPUBLIC VIETNA THEO XUMBASANA PROM APRIL 7 TO OCT.6, EN 1977

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OUR PRESIDENT HO CHI MINI	
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* * <b>/</b> 36	21
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WORTHY DAUGHTERS & SORS	3
VIETNAM (ILLASTRATED)	113
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